Can the Southern Hemisphere annular mode affect China winter monsoon?

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[1] Many previous studies suggested that the anomalous Northern Hemisphere annular mode (NAM) and associated low boundary forcing (e.g., snow cover) greatly influence the China winter monsoon (CWM) variability on interannual to inter-decadal timescales. In this article, it is found that the Southern Hemisphere annular mode (SAM) also well correlates with the two observed CWM major modes, which has not been revealed before. Note that the two CWM major modes are obtained by performing Empirical Orthogonal Function (EOF) analysis on winter surface air temperature at 160 gauge stations across China for the 1951–2006 period. They explain around 70% of the total CWM variances. The first EOF mode exhibits a homogeneous spatial pattern with the corresponding principal component displaying a significant inter-decadal variation, which reflects the warming trend in China during the past 56 years. The second EOF mode shows a meridional seesaw pattern and is basically associated with significant interannual variations. Both of the leading modes are intimately associated with the simultaneous SAM-like hemispheric circulation anomalies. Moreover, the SAM-like anomalies signal precursory conditions for the first CWM mode in boreal autumn. The relevant physical mechanisms by which anomalous autumn SAM may affect the CWM are investigated with NCAR Community Atmospheric Model version 3 (CAM3). When SAM is in a strong phase during boreal autumn, the circum-Antarctic upper level jet stream displaces poleward and the corresponding surface wind speeds reduce in the region between 45°S and 30°S, inducing a hemispheric-scale warm sea surface temperature (SST) belt beneath. Such an anomalously warm SST belt persists through boreal winter and weakens the Hadley cell. The weakened Hadley cell in boreal winter corresponds to anomalous southerlies prevailing in the lower troposphere over China, which favor a weak CWM. The intimate linkage between the autumn SAM and CWM may be instrumental for understanding interactions between the Northern and Southern Hemisphere and can provide a way to predict the CWM variations.


1. Introduction

[2] The China winter monsoon (CWM) is a principal component of the East Asian winter monsoon (EAWM) which is believed to be the strongest winter monsoon system on the Earth due to the huge thermal contrast between the world’s largest landmass (the Eurasian continent) and its largest ocean basin (the Indo-Pacific Ocean) [e.g., Li, 1955; Tao, 1957; Ding, 1994; Chang et al., 2006]. It influences not only the local circulation and climate but also the North America even the global climate through changing positions of the tropical heat sources and convergence centers and teleconnections [e.g., Chang and Lau, 1982; Lau and Chang, 1987; Yang et al., 2002; Wang and Li, 2004]. When the CWM breaks out, it is usually associated with an intense drop in air temperature, gales, snowfalls and freezing weather conditions [e.g., Ji et al., 1997]. The 2008 peculiar snow storm in southern China led to billions of dollars worth property losses and hundreds of civilian deaths.

[3] Many previous studies suggested that the CWM is highly relevant to the Northern Hemisphere large-scale circulation anomalies, i.e., the Northern Hemisphere annular mode (NAM) or Arctic Oscillation (AO) [e.g., Gong and Wang, 1999; Gong et al., 2001; Wu and Wang, 2002; Li and Wang, 2003a; B. Wang et al., Another look at climate variations of the East Asian winter monsoon: Northern and southern temperature modes, submitted to Journal of Climate, 2009, hereinafter referred to as Wang et al., submitted manuscript, 2009]. Observational analysis by Gong et al. [2001] suggested that the NAM (or AO) may influence the CWM through its impact on the Siberian High. A negative NAM phase is concurrent
with a stronger East Asian Trough and an anomalous anticyclonic flow over the Urals at the middle troposphere (500 hPa). The Eurasian low boundary forcing may also affect the CWM with a stronger East Asian Trough and an anomalous anticyclonic flow over the Urals at the middle troposphere (500 hPa). The Eurasian low boundary forcing may also affect the CWM with a stronger East Asian Trough and an anomalous anticyclonic flow over the Urals at the middle troposphere (500 hPa). The Eurasian low boundary forcing may also affect the CWM with a stronger East Asian Trough and an anomalous anticyclonic flow over the Urals at the middle troposphere (500 hPa).

2. Data, Model and Methodology

The main data sets employed in this study include surface air temperature (Ts) at 160 gauge stations across China for the 1951–2006 period, the improved Extended Reconstructed SST Version 2 (ERSST V2 [Smith and Reynolds, 2004]), sea level pressure (SLP) from the University of East Anglia/Climatic Research Unit (CRU [Jones, 1987]) and the European Center for Medium-Range Weather forecast (ECMWF) 40 years reanalysis data [ERA-40 [Uppala et al., 2005]], the National Center for Environmental Prediction/Department of Energy reanalysis (NCEP-2 henceforth) data [Kalnay et al., 1996; Kanamitsu et al., 2002]. The NAM index (NAMI) and SAM index (SAMI) are taken from Li and Wang [2003a] and Nan and Li [2003]. The reason we choose these two indices is because their definitions are more simplified and well reflect the basic features of the SAM and NAM documented in previous studies [Rossby, 1939; Lorenz, 1955; Kutzbach, 1981; NAM's counterpart in the Southern Hemisphere. It is a seesaw phenomenon between the sea level high-pressure belt across Chile and Argentina and the low-pressure area of Weddell Sea and the Bellingshausen Sea and has great influences on the Southern Hemisphere climate [e.g., Walker, 1884; Kidson, 1975; Rogers and van Loon, 1982; Cai et al., 1999; Cai and Wetterson, 2002; Li et al., 2005; Yuan and Li, 2008]. SAM reflects hemispheric-wide fluctuations in air mass between two annular belts of action over middle and high latitudes similar to what the NAM does [e.g., Thompson and Wallace, 2000a, 2000b; Li and Wang, 2003a]. Trenberth et al. [2004] investigated the interannual variability of patterns of global atmospheric mass and found that the dominant global monthly variability overall is associated with the SAM, which is active in all months of the year, while the NAM is the third most important pattern. Some studies already revealed that the preceding SAM anomalies may lead to some climate anomalies in the Northern Hemisphere. Nan and Li [2003] found that there is a significantly positive correlation between the boreal winter SAM and the following summer monsoon rainfall in the middle and lower reaches of the Yangtze River. Xue et al. [2003] also noticed interannual variations of Mascarene High and Australian High are significantly related to the following East Asian summer monsoon rainfall.

Then, can the SAM affect the CWM, and if so, how does it work? To address these issues, it is important to first elaborate the fundamental characteristics of the major modes of the CWM (see section 3). In section 4, the relationship between the SAM and the major modes is discussed, as well as that between the NAM and the major modes for comparison. On the basis of these results, possible physical mechanisms have been investigated (see section 5). In the last section, the main conclusions are summarized and some outstanding issues are discussed.

3. Major Modes of the CWM

The two leading CWM modes (hereafter EOF1 and EOF2) account for 57.1%, 12.2% of the total variance,
The fractional (percentage) variances of the first four eigenvectors and the associated unit standard deviation of the sampling errors are all shown in Figure 1. According to the rule given by North et al. [1982], EOF1 and EOF2 modes are statistically distinguished from each other and the rest of the eigenvectors in terms of the sampling error bars (Figure 1). The sum of the explained variance of the two leading modes reaches 69.3%. Thus the CWM variability is dominated by these two leading modes. They may represent the predictable part of the CWM [e.g., Wang et al., 2008; Wu and Li, 2008]. Seasonal prediction of these two leading modes may be the principal aspect of the CWM seasonal prediction.

The spatial pattern of EOF1 mode shows a homogeneous pattern, which indicates the Ts in China has the same sign of variability, with two extreme value centers located over North China and Northwest China, respectively (Figure 2a). The corresponding principal component (PC) (hereafter PC1) has a significant increasing trend (exceeding 95% confidence level) (Figure 2b). These manifest that it is relatively colder before 1980s and warmer after 1980s in China and the warming trend is relatively larger in North and Northwest China than that in the southern China. EOF1 mode basically reflects the warming trend over China during the period 1951–2006. This warming pattern was also shown in other studies [e.g., Wu et al., 2006a].

EOF2 mode primarily shows a meridional dipole spatial pattern. Negative Ts anomalies primarily prevail over the region north of 40°N, and positive Ts anomalies control the continent south of 40°N (Figure 2c). Their extreme value centers are located at Northeast China and South China, respectively. The principal component of EOF2 mode (hereafter PC2; Figure 2d) does not show an obvious warming trend but considerable interannual variations. This mode mainly reflects the Ts seesaw effect between the northern and southern China.

In fact, these two major modes are corresponding to two different trajectories of cold air mass influencing China [e.g., Li, 1955; Tao, 1957; Wang et al., submitted manuscript, 2009]. EOF1 mode denotes the middle and eastern trajectory, which can influence majority of China, yet with a decreasing trend in the past fifty years. EOF2 mode represents the...
western cold air mass trajectory, which basically impacts on southern China.

4. SAM (NAM) Anomalies Associated With the CWM

[14] EOF1 mode is highly relevant to the simultaneous SAM and NAM, associated with correlation coefficients between PC1 and SAMI (NAMI) reaching 0.55 (0.55) (Figure 2). Both exceed the 99% confidence level based on the Student t-test. If we decompose PC1 into an interannual (IA) and inter-decadal (ID) component, namely,

$$PC1 = PC1(IA) + PC1(ID)$$

The IA component contains all Fourier harmonics that have periods less than 8 years, while the ID component contains all Fourier harmonics that have periods longer than 8 years. The correlation coefficients between the SAMI (NAMI) and PC1 (ID) reach 0.62 (0.62), while those between the SAMI (NAMI) and PC1 (IA) are 0.29 (0.3). It indicates that the high correlation between the simultaneous SAM (NAM) and the CWM dominant mode is primarily contributed by the decadal-trend timescale. We regressed raw SLP and 850 hPa winds onto PC1 to show the low-level circulation anomalies associated with the EOF1 mode. It can be clearly seen from Figure 3a that there is a tremendous negative SLP anomaly center over the mid-high latitudes between 60°S and 90°S, associated with large areas of cyclonic wind anomalies around the South Pole region at 850 hPa. There are two positive SLP centers over South Atlantic and South Indian Ocean, respectively, associated with 850 hPa anticyclonic wind anomalies. This pattern reflects a typical positive SAM phase as documented by Thompson and Wallace [2000a, 2000b]. Similar features exist in the Northern Hemisphere, large areas of negative SLP anomalies covering over the high latitudes between 45°N and 90°N, centered at the Eurasian continent and North Atlantic (Figure 3b). Cyclonic wind anomalies prevail over the Eurasian and Greenland at 850 hPa. Two positive SLP anomaly centers cover central North Pacific and North Atlantic—western Europe, which are relatively weak compared with its Southern Hemisphere counterpart. It basically exhibits a positive NAM phase pattern [e.g., Thompson and Wallace, 2000a, 2000b; Trenberth et al., 2004].

[15] EOF2 mode better correlates with the simultaneous SAM than with the NAM, associated with correlation coefficients between PC2 and SAMI (NAMI) reaching −0.33 (+0.27) beyond 95% (90%) confidence level. In the Southern Hemisphere, a pronounced positive SLP anomaly belt controls the South Pole region, accompanied by a remarkable negative SLP anomaly belt that covers the midlatitudes between 30°S and 60°S (Figure 4a). The Northern Hemisphere has a more complicated circulation pattern than the Southern Hemisphere does (Figure 4b). The North Pole region is basically controlled by positive SLP anomalies and a remarkable negative SLP center extends from North Atlantic to the western Europe, which basically exhibits a negative North Atlantic Oscillation (NAO) pattern [Rogers, 1984; Figure 4b]. As a matter of fact, the correlation coefficient between PC2 and NAO index [Li and Wang, 2003b] is −0.35, exceeding the 99% confidence level based on the Student t-test.

[16] Figure 5 and Figure 6 show the midlevel and high-level circulation anomalies associated with the two major EOF modes. For EOF1 mode, 500 hPa geo-potential height anomalies and 200 hPa wind anomalies have similar spatial patterns in both the Southern Hemisphere and Northern Hemisphere.
Hemisphere (Figure 5) with those of the lower level (Figure 3), which manifests that the large-scale SAM-like atmospheric anomalies associated with EOF1 mode have an equivalent barotropic structure in the troposphere. As for EOF2 mode, the Southern Hemisphere also displays a similar pattern in the upper troposphere (Figure 6a) with that in the lower troposphere (Figure 3a), so does the North Atlantic domain (Figure 6b). It indicates that the SAM-like and NAO-like anomalies associated with the EOF2 mode bear an equivalent barotropic structure in the troposphere. In addition, a tremendous 500 hPa low center associated with 200 hPa cyclonic wind anomalies covers the Siberian region and expands to the north of 60°N (Figure 6b), which generates an enhanced baroclinic pattern.

Figure 7 shows the lead-lag correlation map between PC1 (PC2) and SAMI (NAMI) time series from Mar(0) to Oct(1). Here “0” denotes the simultaneous year and “1” denotes the following year. Mar(0) leads the simultaneous winter (D(0)J(1)F(1)) by 9 months. The preceding SAM signal (Figure 7b) is stronger and more stable than the preceding

![Figure 4](image.png)  
**Figure 4.** Same as Figure 3 except for PC2.

![Figure 5](image.png)  
**Figure 5.** DJF 500 hPa geo-potential height anomalies (color shadings, unit of m) and 200 hPa wind anomalies (vectors, unit of m s\(^{-1}\)) over the (a) Southern Hemisphere and (b) Northern Hemisphere, which were linearly regressed against the PC1 in Figure 2.
NAM signal (Figure 7a) with the two CWM major modes. The SAM provides significant precursory conditions from Aug(0) and persists till the following year in terms of EOF1 mode. Thus the SAM yields a strong and durative connection with the CWM leading mode, which makes it of seasonal prediction value. Note that although the NAM has a good simultaneous relationship with the EOF1 mode, it does not have a durative leading relationship with the EOF1 mode. In contrast to the strong lead-lag correlation with the EOF1 mode, the SAM weakly correlates with the EOF2 mode, only with simultaneous relationship exceeding the 95% confidence level based on Student $t$-test. The NAM is also weakly correlated with the EOF2 mode.

From the above analysis, both of the leading modes are associated with simultaneous SAM-like hemispheric circulation anomalies and the SAM anomalies associated with the first CWM mode are more stable and durative than the NAM anomalies. However, the statistical relationship between the SAM and CWM does not warrant any cause and effect.

5. Physical Mechanisms

As noted above that the SAM anomalies associated with the first CWM mode are more stable and durative than the NAM anomalies, the following will focus on how the SAM anomalies can affect the first CWM mode. The significant relationship between the SAM and the first CWM mode starts from preceding autumn (Figure 7b). Since the atmosphere (or SAM), on its own, lacks the mechanisms to generate predictable variations, potential predictability of such fluctuations can arise only from coupled mechanisms that involve low boundary forcing such as SST [Namias, 1959, 1965]. To understand how boreal autumn SAM anomalies affect SST variations, first investigated are surface wind speed anomalies associated with autumn SAM. Note that strong (weak) SAM is usually corresponding to the poleward (equatorward) displacement of high-level jet streams around the Antarctic [e.g., Thompson and Wallace, 2000a, 2000b]. Because of the equivalent-barotropic structure of the SAM (Figures 3a and 5a) [e.g., Thompson and Wallace, 2000a, 2000b; Trenberth et al., 2004], the surface wind speed varies in phase with high-level jet stream. Figure 8 shows the lead-lag correlations between global zonal 10-m wind speed with autumn SAM. The most notable feature is a
dipole correlation pattern sustaining from Aug(0) through Dec(0) in the Southern Hemisphere mid-high latitudes (south of 30°S): positive correlation south of 45°S and negative correlation within 45°S–30°S. It indicates that the strong SAM in boreal autumn is usually associated with high surface wind speed south of 45°S and low wind speed within 45°S–30°S, and vice versa. This is basically due to displacement of jet streams around the Antarctic when anomalous SAM occurs in boreal autumn. Since high (low) sea surface wind speed often favors cold (warm) SST anomalies by latent heat flux exchange, corresponding to this dipole surface wind speed pattern, SST exhibits a similar pattern (negative correlations near the polar and equatorial regions, and positive correlations in the Southern Hemisphere middle latitudes), yet the positive correlation within 45°S–30°S is dominant (Figure 9). The positive correlation between the autumn SAMI and the SST within 45°S–30°S persists from autumn through winter, which manifests that strong SAM autumn will induce anomalously warm SST within 45°S–30°S sustaining through boreal winter.

Figure 8. The lead-lag correlation coefficients between the autumn SAMI and global zonal mean 10-m winds speed from Jun(0) to Mar(1). The vertical line indicates Oct(0) where the simultaneous correlations are shown. The shaded areas represent 95% confidence level.

Figure 9. The lead-lag correlation coefficients between the autumn SAMI and global zonal mean SST from Jun(0) to Mar(1). The bold horizontal line indicates Oct(0) where the simultaneous correlations between the PCs and SST are shown. The shaded areas represent 95% confidence level.
puted between the ZSSTI and global (East Asian) zonal mean vertical (meridional) velocities (Figure 11). In boreal winter, the climatological upward branch of global zonal mean Hadley cell prevails over the equatorial region (15°S–10°N) (dark shadings in Figure 11a), two downward branches primarily located in the Southern Hemisphere (45°S–30°S) and Northern Hemisphere (15°N–35°N), respectively. During the high ZSSTI DJF, warm SST anomalies within (45°S–30°S) usually excite upward movements, which weakens the downward branch of the Hadley cell.

**Figure 10.** DJF SST composite difference between high and low autumn SAMI (high minus low). A high (low) SAMI is measured by one standard deviation. The global zonal mean SST between the two red lines (45°S–30°S) is defined as a zonal SST index (ZSSTI).

**Figure 11.** Vector correlation map of DJF meridional wind section averaged (a) globally (0°–360°) and (b) over East Asia (100°E–140°E) with reference to ZSSTI defined in Figure 10. The vertical and meridional components of the vectors represent, respectively, the correlation coefficients of the vertical and meridional winds with ZSSTI. The shaded areas denote the climatological mean of zonal mean vertical velocity. The dark (light) shadings correspond to upward (downward) movements (contours in units of Pa/s). The vector correlation shown in Figure 11 exceeds 95% confidence level.
(see vector correlations within 45°S–30°S in Figure 11a) and further weakens the Hadley cell in the Southern Hemisphere. Since the Hadley cells in the two hemispheres share the same upward branch, the weakening of the Southern Hemisphere Hadley cell will lead to the weakening of its counterpart in the Northern Hemisphere (see vector correlations within 15°N–35°N; Figure 11a). In such cases, the lower troposphere in the Northern Hemisphere is corresponding to southerly wind anomalies.

[22] Similar features exist in the zonal mean Hadley cell over the East Asian sector (Figure 11b). The global strongest Hadley cell in boreal winter is located in the East Asia because of the huge meridional thermal contrast [e.g., Li, 1955; Tao, 1957; Ding, 1994; Chang et al., 2006], therefore, the upward branch (within 15°S–10°N) and two downward branches of the Hadley cell (within 45°S–30°S and 15°N–52.5°N) over East Asia (shadings in Figure 11b) are stronger and wider than those of the global zonal mean (shadings in Figure 11a). Because of the warm SST anomalies within (45°S–30°S), the Hadley cell over the domain (100°E–140°E) is also weakened with upward anomalies within (45°S–30°S) and (15°N–52.5°N) and downward anomalies within 15°S–10°N. The lower troposphere in (15°N–52.5°N) is controlled by southerly wind anomalies which are usually considered to be a weak CWM [e.g., Ding, 1994; Chang et al., 2006; Wu et al., 2006a].

[23] To further verify the above assumption on how the DJF warm SST anomalies within (45°S–30°S) influence the Hadley Cell, we performed numerical experiments with the CAM3 model described in section 2. The control run was integrated for 12 years and the last 10 years’ integration was used to derive a reference state. The sensitivity test was integrated for 10 years. The 10-year integrations were used to construct a 10-member ensemble (arithmetic) mean to reduce the uncertainties arising from differing initial conditions. To isolate and mimic the impacts of SST warming, the only difference between the control and sensitivity experiments is 1°C increasing in SST over the (45°S–30°S) region in the sensitivity run. It can be clearly seen from Figure 12 that warm SST anomalies within (45°S–30°S) usually excite ascending anomalies, which weakens the downward branch of the Hadley cell, and further weakens the Hadley cell in the Southern Hemisphere. Because the Hadley cells in the two hemispheres share the same upward branch, the weakening of the Southern Hemisphere Hadley cell will lead to the weakening of its counterpart in the Northern Hemisphere and the prevailing of southerly wind anomalies in the lower troposphere in the Northern Hemisphere.

6. Summary and Discussion

[24] The 2008 extreme snow storm event which occurred in China triggered fervent attention to the CWM research. In this study, two CWM major modes are obtained by performing EOF analysis on winter surface air temperature at 160 gauge stations across China for the 1951–2006 period. They explain around 70% of the total CWM variances. EOF1 mode exhibits a homogeneous spatial pattern with the PC1 displaying a significant inter-decadal variation, which reflects the warming trend in China during the past 56 years. EOF2 mode shows a meridional seesaw pattern and is basically associated with interannual variations. Previous studies have noticed that the CWM is highly relevant to the Northern Hemisphere large-scale circulation systems such as the NAM [e.g., Gong et al., 2001; Wu and Wang, 2002; Li and Wang, 2003a]. According to the results in this study, the SAM simultaneously correlates well with both of the two CWM leading modes. The linkage between the SAM and
the first CWM mode is even more stable and durable in terms of lead-lag correlation. These have not been revealed before.

[25] The SAM yields another source of predictability for the CWM. The main difficulty in understanding the influences of the SAM on the Northern Hemisphere climate is how SAM signals can propagate from the Southern Hemisphere into the Northern Hemisphere. Thomas and Webster [1994] suggested that wave propagation from the Southern Hemisphere into the Northern Hemisphere will most likely be observed when the westerly duct is open. In light of the intimate connection between the ENSO and SAM variability [L’Heureux and Thompson, 2006], ENSO is also likely to be the implicit link between the SAM and CWM. Some other studies more believed that the influence of SAM was basically transmitted through the “ocean bridges” of Indian Ocean circulation changes [e.g., Nan and Li, 2005; Nan et al., 2008]. Evidences provided in this study show that the SAM anomalies playing important roles in affecting the strength of the Hadley cell. When strong (weak) SAM anomalies occur in boreal autumn, the poleward (equatorward) displacement of high-level jet streams yields high (low) surface wind speed anomalies in the region (45°S–30°S) which may induce hemispheric-scale warm (cold) sea surface temperature (SST) belt in this region. Such an anomalous SST pattern persists from boreal autumn through boreal winter and can weaken (strengthen) the Hadley cells in both hemispheres in boreal winter. The weakened (enhanced) Hadley cell is always corresponding to anomalous southerlies (northerlies) prevailing over China in the lower troposphere which favor a weak (strong) CWM. In such cases, the autumn SAM anomalies are tied to the CWM variations.

[26] This work focuses on boreal autumn SAM anomalies. Figure 7 seems to suggest that the SAM’s influence on PC1 extends right through to the next boreal autumn. Why is this case? In addition, why does the NAMI & PC1 correlation (Figure 7a) dip below and then above the 95% confidence level leading into the boreal summer? In other seasons, can the SAM trigger similar large-scale circulation anomalies? Under a global warming circumstance, how will the SAM-CWM link change? These issues need further investigations. The results in this work are based on the seasonal mean timescale. Many climate extreme events are of a subseasonal timescale. How well can the SAM contribute to prediction of the subseasonal events? Moreover, since the NAM (NAO) and ENSO are also highly relevant to CWM (e.g., Wang et al., submitted manuscript, 2009), are there any interactions among the NAM, ENSO and SAM during the CWM season? Does this suggest a link between the NAM and the SAM? Why does the SAM have a better correlation with the CWM during the preceding months than the NAM does? These are still outstanding issues which need further investigations. Because of the discrepancy of the reanalysis data in reflecting the Southern Hemisphere, more observation data should be used to accurately quantify the SAM variations [Marshall, 2003].

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